# MARKET CONDUCT SOURCEBOOK (AMENDMENT NO 6) INSTRUMENT 2003

### **Powers exercised**

A. The Financial Services Authority makes this instrument in the exercise of the power in section 157(1) of the Financial Services and Markets Act 2000 (Guidance).

#### Commencement

B. This instrument comes into force on 1 October 2003.

### **Amendments to the Market Conduct sourcebook**

C. MAR 1 (The Code of Market Conduct) is amended in accordance with the Annex to this instrument.

### Citation

D. This instrument may be cited as the Market Conduct Sourcebook (Amendment No 6) Instrument 2003.

By order of the Board 18 September 2003

#### Annex

## Amendments to the Code of Market Conduct (MAR 1)

In this Annex, underlining indicates new text and striking through indicates deleted text.

- 1.11.2G Section 118(3) allows the Treasury to prescribe markets and *qualifying investments*. This is the purpose of the Financial Services and Markets Act 2000 (Prescribed markets and Qualifying investments) Order 2001. This Order, when read in conjunction with the *Act* makes certain kinds of *investment* "traded on" *prescribed markets qualifying investments*. The Treasury has prescribed all markets established under the rules of a *UK RIE* and the market known as OFEX as markets to which section 118 applies. The *prescribed markets* as at 16 January 2003–30 June 2003, are:
  - (1) the markets established under the rules of the following (the UK RIEs):
    - (a) EDX London Ltd;
    - (b)(a) The International Petroleum Exchange of London Limited;
    - (c)(b) LIFFE Administration and Management;
    - (d)(e) The London Metal Exchange Limited;
    - (e)(d) London Stock Exchange plc (including AIM);
    - (f)(e) OM London Exchange Limited;
    - (g)(f) virt-x Exchange Limited;
  - (2) the market known as OFEX.