

AUTHORISATION MANUAL (AMENDMENT) INSTRUMENT 2001

Powers exercised

- A. The Financial Services Authority alters the Authorisation manual in the exercise of the following powers in the Financial Services and Markets Act 2000 (the "Act"):
- (1) paragraph 5(4) of Schedule 4 (Treaty Rights: Notice to Authority) (and sections 51(3) and (6) (Applications under this Part));
 - (2) section 157(1) (Guidance).

Commencement

- B. This instrument comes into force at the beginning of the day on which section 19 of the Act (The general prohibition) comes into force.

Amendment of the Authorisation manual

- C. The Authorisation manual is amended by inserting, after AUTH 4, the provisions in the Annex to this instrument.
- D. Schedule 2 to the Authorisation manual (Notification requirements) is amended by inserting the following new row as the penultimate row of the table:

<i>AUTH</i> 5.3.9D	Intention of <i>incoming Treaty firm</i> to carry on a <i>regulated activity</i> in the <i>United Kingdom</i>	Matters relevant to the notice as indicated in the application pack	Intention to carry on a <i>regulated activity</i>	At least seven days in advance
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- E. Schedule 4 to the Authorisation manual (Powers exercised) is amended by inserting at the end "Paragraph 5(4) of Schedule 4 (Treaty Rights: Notice to Authority).".

Citation

- F. This instrument may be cited as the Authorisation Manual (Amendment) Instrument 2001.

By order of the Board
20 September 2001

Annex
AUTH 5 (Qualifying for authorisation under the Act)